#### **BIOGRAPHICAL DATA**

Birth date: September 12, 1942 Birthplace: Oak Park, Illinois

Citizenship: U.S.A.

# PROFESSIONAL EXPERIENCE

1989-present Professor of Applied Mathematics

University of Colorado

Boulder, CO

2000-2003 Chairman, Department of Applied Mathematics

University of Colorado

Boulder, CO

1987-1989 Professor of Mathematics

State University of New York

Buffalo, NY

1976-1987 Senior Consultant

Aeronautical Research Associates of Princeton

Princeton, NJ

1984-1985 Co-director, Program on Integrable Models

**Institute for Theoretical Physics** 

University of California at Santa Barbara

Santa Barbara, CA

1971-1976 Assistant/Associate Professor of Mathematics

Clarkson College of Technology

Potsdam, NY

1969-1971 Research Fellow in Applied Mathematics

California Institute of Technology

Pasadena, CA

1964-1965 Thermodynamics Engineer

General Dynamics/Convair

San Diego, CA

#### **EDUCATION**

1969 Ph.D. in Aeronautical Sciences

University of California, Berkeley

1967 M.S. in Aeronautical Sciences

University of California, Berkeley

1964 B.S. with High Honors, Mechanical Engineering

Michigan State University

AWARDS

**TEACHING** 

1994 Teaching Excellence Award

presented by the Boulder Faculty Assembly,

University of Colorado

1995 Faculty Award

presented by the Minority Engineering Program

University of Colorado

1998 CU President's Teaching Scholar

presented by John Buechner, President

University of Colorado

RESEARCH

2004 Distinguished Research Lectureship

presented by the Council on Research and Creative Work

University of Colorado

TEACHING AND RESEARCH

2011 Hazel Barnes Prize

the highest faculty recognition for teaching and research awarded by the University of Colorado at Boulder

PRINCIPAL LECTURER

International Workshop on Tsunami and Nonlinear Waves (3 lectures), Calcutta, India, March 6-10, 2006

SIAM Workshop on Stability (4 lectures), U of Washington, Seattle, Sept. 6-8, 2006

NSF/CBMS Regional Conference on "Water Waves: Theory and Experiment" (10 lectures), held at Howard University, Washington, D.C., May 13-18, 2008

Geophysical Fluid Dynamics Summer School, on "Nonlinear Waves" (11 lectures), Woods Hole Oceanographic Institute, Woods Hole, MA, June 15- August 21, 2009

## **PUBLICATIONS**

#### BOOKS

Solitons and the Inverse Scattering Transform, SIAM, Philadelphia, 425 pp., with M.J. Ablowitz, 1981 (also translated and published in Russian and in Japanese)

Solitons and Coherent Structures, North Holland, Amsterdam, 487 pp., ed. by D.K. Campbell, A.C. Newell, J.R. Schrieffer & H. Segur, 1986

Asymptotics Beyond All Orders, Plenum Press, New York, 389 pp., ed. by H. Segur, S. Tanveer & H. Levine, 1992

#### RESEARCH ARTICLES

- 1. "Analytical Procedure for Determining Hydrogen Peroxide Exhaust Impingement Heating," Rep't GD/C-BTD65-116, General Dynamics/Convair, San Diego, CA, 1965
- 2. "Stratified Flow into a Contraction," (Ph.D. thesis) Rep't AS69-15, University of California, Berkeley, 1969
- 3. "A limitation on Long's model in stratified fluid flows," *J. Fluid Mech.*, **48**, pp. 161-179, 1971
- 4. "Method for Solving the Sine-Gordon Equation," *Phys. Rev. Lett.*, **30**, p. 1262, with M.J. Ablowitz, A.C. Newell & D.J. Kaup, 1973 (reprinted in "Series of Selected Papers in Physics," **59**, by the Physical Soc. of Japan)
- 5. "Nonlinear Evolution Equations of Physical Significance," *Phys. Rev. Lett.*, **31**, p. 125, with M.J. Ablowitz, A.C. Newell & D.J.Kaup, 1973 (reprinted in "Series of Selected Papers in Physics," **59**, by the Physical Soc. of Japan)
- 6. "The Korteweg-deVries equation and water waves, Part I: Solutions of the equation," *J. Fluid Mech.*, **59**, p. 721, 1973
- 7. "The Korteweg-deVries equation and water waves, Part II: Comparison with experiments," *J. Fluid Mech.*, **65**, pp. 289-314, with J.L. Hammack, 1974
- 8. "An Alternative Method to Solve the Korteweg-deVries Equation?," in Nonlinear Wave Motion, ed. by A.C. Newell, AMS Lect. in App. Math., 15, 1974
- 9. "The Inverse Scattering Transform Fourier Analysis for Nonlinear Problems," *Stud. App. Math.*, **53**, pp. 249-315, with M.J. Ablowitz, A.C. Newell & D.J.Kaup, 1974 (reprinted in "Series of Selected Papers in Physics," **73**, by the Physical Soc. of Japan)
- 10. "The Inverse Scattering Transform: Semi-Infinite Interval," *J. Math. Phys.*, **16**, p. 1054, with M.J. Ablowitz, 1975
- 11. "Linear vs. Nonlinear Water Waves," *Proc. of Ocean Engin. III*, with J.L. Hammack, 1976
- 12. "Asymptotic Solutions and Conservation Laws for the Nonlinear Schrodinger Equation, I," *J. Math. Phys.*, **17**, p. 710, with M.J. Ablowitz, 1976
- 13. "Asymptotic Solutions and Conservation Laws for the Nonlinear Schrodinger Equation, II," *J. Math. Phys.*, **17**, p. 714, 1976
- 14. "Exact Linearization of a Painlevé Transcendent, *Phys. Rev. Lett.*, **38**, p. 1103, with M.J. Ablowitz, 1977
- 15. "Asymptotic Solutions for the Korteweg-deVries Equation," *Stud. App. Math.*, **57**, pp. 13-44, with M.J. Ablowitz, 1977
- 16. "The Korteweg-deVries equation and water waves, Part III: Oscillatory waves," *J. Fluid Mech.*, **84**, p. 337, with J.L. Hammack, 1978
- 17. "Modelling criteria for long water waves," *J. Fluid Mech.*, **84**, p. 359, with J.L. Hammack, 1978
- 18. "Solitons as Approximate Descriptions of Physical Phenomena," *Rocky Mtn. J. Math.*, **8**, p. 15, 1978
- 19. "Nonlinear Evolution Equations and Ordinary Differential Equations of Painlevé Type," *Lett. Nuovo Cim.*, **23**, p. 333, with M.J. Ablowitz & A. Ramani, 1978

- 20. "On the evolution of packets of water waves," *J. Fluid Mech.*, **92**, p. 691, with M.J. Ablowitz, 1979
- 21. "Ordinary Differential Equation of Painlevé Type and the Inverse Scattering Transform," in <u>Math. Methods & Appl. of Scattering Theory</u>, ed. by J.A. DeSanto, A.W. Seanz & W.W. Zachery, *Lect. Notes in Physics*, **130**, Springer-Verlag, NY, 1979
- 22. "A Note on Miura's Transformation," *J. Math. Phys.*, **20**, p. 999, with M.J. Ablowitz & M.D. Kruskal, 1979
- 23. "Long Internal Waves in Fluids of Great Depth," *Stud. App. Math.*, **62**, p. 249, with M.J. Ablowitz, 1980
- 24. "A Connection Between Nonlinear Evolution Equations and Ordinary Differential Equations of P-Type I," *J. Math. Phys.*, **21**, pp. 715-721, with M.J. Ablowitz & A. Ramani, 1980
- 25. "A Connection Between Nonlinear Evolution Equations and Ordinary Differential Equations of P-Type II," *J. Math. Phys.*, **21**, p. 1006-1015, with M.J. Ablowitz & A. Ramani, 1980
- 26. "Resonant Interactions Between Surface and Internal Gravity Waves," *Phys. of Fluids*, **23**, p. 2556, 1980
- 27. "Asymptotic Solutions of Nonlinear Evolution Equations and a Painlevé Transcendent," *Physica*, **3D**, p. 165, with M.J. Ablowitz, 1981
- 28. "Viscous Decay of Envelope Solitons in Water Waves," *Phys. of Fluids*, **24**, p. 2372, 1981
- 29. "Solitons and the Inverse Scattering Transform," in <u>Proc. of International School of Physics "Enrico Fermi"</u>, Course LXXX (1980), ed. by A.R. Osborne & P.M. Rizzoli, North Holland, Amsterdam, 1982
- 30. "On the Periodic Intermediate Long Wave Equation," *J. Phys.A*, **15**, p. 781, with M.J.Ablowitz, A. Fokas, & J. Satsuma, 1982
- 31. "Soliton models of long internal waves," *J. Fluid Mech.*, **118,** p. 285, with J.L. Hammack, 1982
- 32. "Integrable Hamiltonian Systems and the Painlevé Property," *Phys. Rev. A*, 3rd series, **25**, p. 1257, with T. Bountis & F. Vivaldi, 1982
- 33. "Viscous Decay of Long Internal Solitary Waves," *Phys. of* 942, with C. Leone & J.L. Hammack, 1982
- "Comments of Inverse Scattering for the Kadomtsev-Petivashvili Equation," in Math. Methods in Hydrodyn. & Integrability in Dynam. Syst., A.I.P. Conf. Proc. #88, ed. by M. Tabor & Y.M. Treve, p. 211, 1982
- 35. "Logarithmic Singularities and Chaotic Behaviour in Hamiltonian Systems," in Math. Methods in Hydrodyn. & Integrability in Dynam. Syst., A.I.P. Conf. Proc. #88, ed. by M. Tabor & Y.M. Treve, with T. Bountis, 1982
- 36. "Wobbling Kinks in f<sup>4</sup> and Sine-Gordon Theory," *J. Math. Phys.*, **24**, p. 1439, 1983
- 37. "Integrable Models of Shallow Water Waves," in Nonlinear Phenomena, Lect. Notes in Phys #189, ed. by K.B. Wolf, Springer-Verlag, NY, p. 212, 1983
- 38. "Towards a New Kinetic Theory of Resonant Triads," *Contem. Math.*, **28**, p. 281, 1984

- 39. "An Analytical Model of Periodic Waves in Shallow Water," *Stud. App. Math.*, **73**, pp. 183-220, with A. Finkel, 1985
- 40. "Basic Form for Riemann Matrices," in Nonlinear Syst. of PDEs in App. Math., ed by B. Nicolaenko, D.D. Holm & J.M. Hyman, *AMS Lect. in App. Math.*, **23**, p. 47, with A. Finkel, 1986
- 41. "Some Open Problems," *Physica*, **18D**, p. 1, 1986
- 42. "Nonexistence of Small-Amplitude Breather Solutions in f<sup>4</sup> Theory," *Phys. Rev. Lett.*, **58**, p. 747, with M.D. Kruskal, 1987
- 43. "The KP Equation and Biperiodic Water Waves," in <u>Nonlinear Evolutions</u>, ed by J. Leon, World Scient., Singapore, p. 517, with J.L. Hammack & N.W. Scheffner, 1987
- 44. "Asymptotics Beyond All Orders," in <u>Trans of 5th Army Conf. on App. Math & Comp.</u>, ARO Rep't 88-1, p. 369, 1988
- 45. "Two-dimensional Periodic Waves in Shallow Water," *J. Fluid Mech.*, **209**, pp. 567-589, with J.L.Hammack & N. Scheffner, 1989
- 46. "Solitons," in *Encyclopedia of Physics, 2<sup>nd</sup> Ed.*, ed. by G. Trigg & R. Lerner, VCH Pub., NY, p. 1154, 1991
- 47. "Stem Waves Along Breakwater", a Discussion, *ASCE J. Waterway, Port, Coastal & Ocean Eng.*, **115**, pp. 542-543, with J.L. Hammack & N.W. Scheffner, 1991
- 48. "A note on the generation and narrowness of periodic rip currents", *J. Geo. Res.*, **96**, pp. 4909-4914, with J.L. Hammack & N.W. Scheffner, 1991
- 49. "The Kadomtsev-Petviashvili equation and water waves," in <u>Proc. of Chaos & Order</u>, ed. by N. Joshi & R. Dewar, World Sci., Singapore, pp. 109-120, with J. Hammack & N. Scheffner, 1991
- 50. "Who cares about integrability?", *Physica D*, **51**, pp.343-359, 1991
- 51. "Asymptotics Beyond All Orders in a Model of Crystal Growth", *Stud. App. Math.*, **85**, pp. 129-182, with M.D. Kruskal, 1991
- 52. "Periodic Waves in Shallow Water", <u>Proc. of Int.School of Physics "Enrico Fermi"</u>, Course CIX (1988), ed. by A.R. Osborne, North Holland, Amsterdam, pp. 891-914, with J. Hammack & N. Scheffner, 1991
- 53. "An asymptotic symmetry of the rapidly forced pendulum", *Physica D*, **51**, pp. 109-118, with Chang Y.-H., 1991
- 54. "An overview of the geometric model", in <u>Asymptotics Beyond All Orders</u>, ed. by H. Segur, S. Tanveer, & H. Levine, Plenum Press, pp. 29-36, 1992
- 55. "A new formulation for dendritic crystal growth in two dimensions", <u>Asympotics Beyond All Orders</u>, ed. by H. Segur, S. Tanveer, & H. Levine, Plenum Press, pp. 87-104, with E.A. Coutsias, 1992
- 56. "A new Hamiltonian amplitude equation governing modulated wave instabilities", *J. Phys. Soc. Japan*, **61**, pp. 1187-1193, with M. Wadati & M.J. Ablowitz, 1992
- 57. "On integrability and the motion of curves", *Phys. Rev. Lett.*, **69**, pp. 2603-2606, with K. Nakayama & M. Wadati, 1992
- 58. "Asymptotics Beyond All Orders A Survey", <u>Chaos in Australia</u>, ed. by G. Brown & A. Opie, World Scientific, Singapore, pp. 150-172, 1993
- 59. "Analysis of a Hamiltonian Amplitude Equation", *J. Phys. Soc. Japan*, **62**, pp. 1927-1931, with C.C. Chow & S.J. Fromm, 1993

- 60. "Wave Collapse and Instability of Solitary Waves of a Generalized Kadomtsev-Petviashvili Equation", *Physica* **D78**, pp. 241-265, with X.P. Wang & M.J. Ablowitz, 1994
- 61. "A note on the motion of surfaces", *Phys. Lett. A*, **194**, pp. 165-172, with R. McLachlan, 1994
- 62. "Two-dimensional periodic waves in shallow water, part 2: asymmetric waves", J. Fluid Mech., 285, pp. 95-122, with J.L. Hammack, D. McCallister, & N. W. Sheffner, 1995
- 63. "A generalized stability criterion for resonant triad interactions", *J. Fluid Mech.*, **319**, pp. 67-76, with C. Chow & D. Henderson, 1996
- 64. "A Discrete Curve-Shortening Equation", *Methods and Appl. of Analysis*, **4**, pp. 162-172, with K. Nakayama & M. Wadati, 1997
- 65. "Three-phase solutions of the Kadomtsev-Petviashvili equation", *Studies in Applied Math.*, **99**, pp. 137-203, with B.A. Dubrovin & R. Flickinger, 1997
- 66. "Motion of curves specified by accelerations", *Physics Letters A*, **224**, pp. 253-263, with T. Tsurumi, K. Nakayama, & M.Wadati, 1997
- 67. "The KP equation with quasiperiodic initial data", *Physica D* **123**, pp. 123-152, with B. Deconinck, 1998
- 68. "Oceanic Storm Waves near Shore", submitted for publication, with J.H. Curry, J.L. Hammack, C.E. Long, & N.W. Scheffner, 1997
- 69. "The motion of a falling liquid filament", *Phys. Fluids*, **12**, p. 550-567, with L. Smolka, M. Wadati, & D. Henderson, 2000
- 70. "Evolution of a Tracer Gradient in an Incompressible, Two-dimensional Flow", in IUTAM Symp.: Developments in Geophys. Turbulence, ed. by R.M. Kerr & Y. Kimura, Kluwer Pub., pp. 143-150, 2000
- 71. "Pole Dynamics for Elliptic Solutions of the Korteweg-de Vries Equation", *Math. Phys., Anal. & Geom.*, **3**, pp. 49-74, with B. Deconinck, 2000
- 72. "Instabilities in the two-dimensional cubic nonlinear Schrodinger equation", *Phys. Rev. E* **68**, 045601, with J.D. Carter, 2003
- 73. "Progressive waves with persistent, two-dimensional surface patterns in deep water", *J Fluid Mech.*, **532**, pp. 1-51, with J.L. Hammack & D.M. Henderson, 2005
- 74. "Stabilizing the Benjamin-Feir instability", *J Fluid Mech.*, **539**, pp. 229-271, with D. Henderson, J.D. Carter, J. Hammack, C-M Li, D. Pheiff, K Socha, 2005
- 75. "Can the Benjamin-Feir instability spawn a rogue wave?", with D.M. Henderson & J.L. Hammack, Proceedings of the 14<sup>th</sup> Aha Huliko'a Winter Workshop, on Rogue Waves, ed. by P. Müller & D. Henderson, 2005
- 76. "On the laboratory generation of two-dimensional, progressive surface waves of nearly permanent form on deep water", *J. Fluid Mech*, **559**, pp. 412-427, with D.M.Henderson & M. Patterson, 2006
- 77. "Stable, three-dimensional waves of nearly permanent form on deep water", *Math. & Computers in Simulation*, **doi: 10.1016/j.matcom**, with W. Craig, D.M. Henderson & M. Oscamou, 2006
- 78. "Waves in shallow water, with emphasis on the tsunami of 2004", in <u>Tsunami and nonlinear waves</u>, ed. by A Kundu, Springer GeoSc., pp. 3-30, 2007

- 79. "Integrable models of waves in shallow water", in <u>Probability, Geometry and Integrable Systems</u>, MSRI Publication **55**, pp. 307-333, 2008
- 80. "Finite-dimensional pole dynamics of solutions of the viscous Burgers equation", J. Physics A:Math.Theor. 40, 5459-5467, with B. Deconinck & Y. Kimura, 2007
- 81. "The modulational instability revisited", *Euro. Phys. Journal*, **147**, 25-43, with D.M. Henderson, 2007
- 82. "Explosive instability due to 4-wave mixing", *Phys. Rev. Lett.*, **99**, DOI: 10.1103/PhysRevLett.99.245004, with B.R. Safdi, 2007
- 83. "Stable deep-water waves propagating in one and two dimensions", *Proc. in Appl. Math. & Mech.*, 7, pp. 1101401-1101402, with D. Henderson, 2007
- 84. "Explosive instability due to 3-wave or 4-wave mixing, with or without dissipation", *Analysis & Applications*, **6**, pp. 1-16, 2008
- 85. "Demonstration experiment in the NSF-CBMS Regional Conference on Water Waves", Conf. Proc. on <u>Water Waves</u>, Theory and Experiment, World Scientific, New Jersey, pp. 191-201, with D. Henderson, R. Geist & K. Hammack, 2010
- 86. "Experimental evidence of stable wave patterns on deep water", *J. Fluid Mech.*, **658**, pp. 247-278, with D.M. Henderson & J.D.Carter, 2010
- 87. <u>Proceedings of the Conference on Water Waves Theory and Experiment,</u> ed. by M.F. Mahmood, D. Henderson & H. Segur, World Scientific Pub., New Jersey, 201 pp., 2010
- 88. "The Benjamin-Feir Instability and Propagation of Swell across the Pacific", *Math. & Computers in Simul.*, **82**, pp. 1172-1184 with Diane Henderson, 2012
- 89. "Seismically generated tsunamis", *Phil. Trans. Royal Soc. London A*, **370**, pp. 1505-1542, doi: 10.1098/rsta.2011.0457, with D. Arcas, 2012
- 90. "The role of dissipation in the evolution of ocean swell", *J. Geophys. Res. Oceans*, **118**, pp. 5074-5091, doi:10.1002 jgrc.20324, with D.M. Henderson, 2013
- 91. "Dissipation of narrow-banded surface water waves", *Fields Institute Communications*, **75**, pp. 163-183 with D. Henderson & G.K. Rajan, 2015
- 92. "Toward a general solution of the three-wave partial differential equations", submitted to *Studies in Applied Mathematics*, with R. A. Martin, 2015

### **INVITED LECTURES**

Given in the U.S., Australia, Canada, China, Denmark, France, Germany, Great Britain, Greece, India, Italy, Japan, Mexico, Poland, South Africa, Spain and the former U.S.S.R.

### INVITED LECTURES AT RECENT CONFERENCES (SINCE 2011)

- 1) 7<sup>th</sup> IMACS Conference on Nonlinear Evolution Equations and Wave Phenomena, U. of Georgia, Athens, GA, April 4-7, 2011
- 2) "Conference on Nonlinear Water Waves", Erwin Schrödinger Institute, Vienna, AUSTRIA, May 16-17, 2011
- 3) "Mathematics of Extreme Sea Waves: Tsunamis, Rogue Waves and Flooding", The Fields Institute, Toronto, Ontario, CANADA, June 13-16, 2011

- 4) SIAM Conference on Nonlinear Waves, U. of Washington, Seattle, WA, June 13-16, 2012
- 5) 8<sup>th</sup> IMACS Conference on Nonlinear Evolution Equations and Wave Phenomena, U. of Georgia, Athens, GA, March 24-28, 2013
- 6) "Workshop on Ocean Wave Dynamics", Fields Institute for Research in Mathematical Sciences, Toronto, Ontario, CANADA, May 6-11, 2013
- 7) NSF-CBMS Regional Conference on "Solitons in two-dimensional water waves and applications to tsunamis", University of Texas/Pan-American, Edinburg, TX, May 20-24, 2013
- 8) "Water waves: Computational Approaches for Complex Problems", Banff International Research Station, Banff, Alberta, CANADA, July 1-5, 2013
- 9) "Hamiltonian PDEs: Analysis, Computation and Applications", Fields Institute for Research in Mathematical Sciences, Toronto, Ontario, CANADA, Jan. 9-12, 2014
- 10) "Theory of Water Waves", Isaac Newton Institute, Cambridge, U.K., July 14-25, 2014
- 11) "Impacts of Waves along Coastlines", Institute for Mathematics and its Applications, Minneapolis, MN, Oct. 14-17, 2014
- 12) 9<sup>th</sup> IMACS Conference on Nonlinear Evolution Equations and Wave Phenomena, U. of Georgia, Athens, GA, April 1-4, 2015

# SCIENTIFIC ORGANIZING COMMITTEES (since 2009)

- 1) Organizing Committee: Geophysical Fluid Dynamics Summer Program, Woods Hole Oceanographic Institute, Woods Hole, MA, July 15-August 21, 2009
- 2) 7<sup>th</sup> IMACS Conference on Nonlinear Evolution Equations and Wave Phenomena, U. of Georgia, Athens, GA, April 4-7, 2011
- 3) 8<sup>th</sup> IMACS Conference on Nonlinear Evolution Equations and Wave Phenomena, U. of Georgia, Athens, GA, March 24-28, 2013
- 4) 9<sup>th</sup> IMACS Conference on Nonlinear Evolution Equations and Wave Phenomena, U. of Georgia, Athens, GA, April 1-4, 2015

#### RESEARCH CONTRACTS

Principal or Co-Principal Investigator on various contracts with the U.S. Army Research Office (Mathematics), the Department of Energy (Applied Mathematical Sciences), the U.S. National Science Foundation (Geophysics, Mathematics, Special Programs, Focused Research Group, VIGRE, MCTP, CCLI), NATO (Scientific Affairs Division), and the U.S. Office of Naval Research (Fluid Mechanics, Physics).