

CARLA F. FREDERICKS
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Education:

- *Columbia Law School*, J.D., 2001. Charles Evans Hughes Scholar; Public Interest Fellow; *Columbia Journal of Environmental Law*, Executive Editor; *Columbia-VLA Journal of Law and the Arts*, Editor.
- *University of Colorado*, B.A., *magna cum laude*, 1997.

Academic Experience:

University of Colorado Law School, Boulder, CO: 2013-present

- *Director, Associate Clinical Professor, American Indian Law Clinic (primary appointment, 2013-present)*: Direct all aspects of the American Indian Law Clinic. Supervise students in the legal representation of Native American individuals and tribes and United Nations appointees on significant Native American and indigenous law matters; develop curriculum for and teach accompanying seminar.
- *Director, First Peoples Worldwide: (2017-present)* Founder and Director of interdisciplinary program at housed at the Center for Native American and Indigenous Studies. Collaborate between Indigenous Peoples and investors to address the unique social and environmental impacts of corporate development in Indigenous communities, while preparing students to address the pressing social responsibility challenges facing today's businesses. Over \$1,000,000 in commitments raised.
- *Founding Executive Board Member, Center for Native American and Indigenous Studies (2014-present)* Oversee and found interdisciplinary cross-campus academic program focused on Native American and Indigenous curriculum.
- *Affiliate Faculty, Center for Ethics and Social Responsibility, Leeds School of Business (2018-present)*. Work in collaboration with CESR.
- *Director, American Indian Law Program (2013-2018)*: Ran academic program focused on American Indian Law; provide students with externship and clerkship opportunities; coordinate annual Speaker Series and Conference; fundraise for program costs; oversee program fellows; community outreach.

Columbia Law School, New York, NY: *Adjunct Faculty*, 2011 to 2013

- *Lecturer-in-Law*: Taught in Columbia Law School's first year required course for

- legal writing and oral advocacy. Appointed by Columbia Law Faculty.
- *Fellowship Advisor*: Assist students selected by the Dean for Social Justice Initiatives; work with students to develop public interest law fellowship projects focusing on Indian Country. Appointed by Dean for Social Justice Initiatives.

Legal Experience:

Fredericks, Peebles & Morgan LLP, New York, NY and Louisville, CO: *Of Counsel*, September 2012 to December 2015

- Representation of tribal governments in variety of litigation and governance matters including trust issues; policy; large financings; economic development; energy; NAGPRA.
- Advocacy on behalf of Native American and global indigenous groups with publicly traded companies (issues of free, prior and informed consent; mascot and trademark issues; sacred sites protection; UNDRIP adoption by corporations).

Milberg LLP, New York, NY:

Partner, January 2010 to September 2012 (Associate, 2005–2009)

- Complex litigation. Developed and ran Milberg’s Native American practice.
- Managed all aspects of cases including client and case development/investigation, case strategy, and management; interfacing with counsel; depositions; discovery; motions; mediation; electronic discovery; settlements/objections; appeals. Areas include: litigation on behalf of tribal interests, class actions, appellate, RICO litigation, civil rights litigation, complex financial litigation, *qui tam*.
- Representative litigation matters: *Ute Tribe v. Jurrius, et al.* (Representing Tribe in fraud and Indian Mineral Development Act litigation); *Annis v. Dalrymple, et al.* (representing Plaintiffs in Fighting Sioux civil rights litigation); *In re Tyco International Ltd. Securities Litigation* (MDL; settlement on behalf of class Plaintiffs of \$3.2 billion; 1st Circuit litigation); *Makor Rights & Issues v. Tellabs* (Supreme Court litigation); *Abdullahi v. Pfizer* (Trovan litigation, representing Nigerian children upon whom Pfizer conducted medical experimentation); *NJRCC v. D.R. Horton, Inc.* (RICO litigation). Representative clients include Indian Tribes, Indian individuals, investors, and labor unions.
- Extensive social justice work; coordinated and drafted amicus in Supreme Court cases, including *Harjo v. Pro Football, Inc.* (Washington Football team name trademark litigation).

Broach & Stulberg LLP, New York, NY: *Associate*, 2003–2004

- Civil rights, labor, and employment law. Litigation and representation in §1983 and employment discrimination.

Proskauer Rose LLP, New York, NY: *Associate*, 2001–2002

- Labor, tax, and corporate law.

Innocence Project, New York, NY: Intern, 1999

- Work on behalf of clients seeking exoneration, innocence exoneration of Neil Miller. <http://www.innocenceproject.org/cases/neil-miller/>

Service:

Interfaith Center on Corporate Responsibility, New York, NY:

- Founding Steering Committee Member, Investor Alliance for Human Rights

University of Colorado Law School, Boulder, CO:

- Admissions Committee (2013-present); Native American Law Students Association Faculty Advisor (2013-present)

University of Colorado-Boulder, Boulder, CO:

- Academic Affairs Budget Advisory Committee (2014-2015)

Mashantucket Pequot Tribe, Ledyard, CT:

- Chair, Endowment Trust, 2009 to present

American Indian College Fund, Denver, CO:

- Trustee, 2009-2013; Vice-Chair, Finance and Investment Committee

Indigenous Peoples' Working Group on Social Investment, Washington, D.C.

- Steering Committee

Tribal Affiliation:

- *Enrolled Member, Mandan, Hidatsa, and Arikara Nation*, New Town, ND

Conferences Organized:

- *Celebrating the Tenth Anniversary of the Adoption of the United Nations Declaration on the Rights of Indigenous Peoples*, (organized on behalf of the United Nations Permanent Forum on Indigenous Issues) University of Colorado Law School, Boulder, CO (2017)
- *Identity and Implementation: The Next Ten Years of the United Nations Declaration on the Rights of Indigenous Peoples*, Columbia Law School, New York, NY (2017, Co-organized with Shawn Watts, Columbia Law School)
- *Official United States Mission of the United Nations Special Rapporteur on the rights of indigenous peoples to the United States of America* (Albuquerque, NM);

- Window Rock, AZ; Boulder, CO; Bismarck, ND; Fort Yates, ND; Fort Berthold, ND; Washington, D.C. (2017)
- *Native American Rights Fund 45th Anniversary Symposium*, Boulder, CO (2015)
 - *Tribal Sovereign Immunity after Bay Mills*, Boulder, CO (2014)
 - *Free Prior & Informed Consent: Pathways for a New Millennium*, Boulder, CO (2013)

Selected Presentations:

- October 23, 2019, Panelist/Organizer “Social Investment Opportunities with Indigenous Communities II” Social Capital Markets SOCAP Conference, San Francisco, CA
- September 13, 2019, Moderator, Custer Died for Your Sins 50th Anniversary Conference, University of Colorado
- Summer-Fall 2019, Curricular Director and Presenter, Leading with Ethics in Achieving the Sustainable Development Goals, United Nations Institute for Training and Research (UNITAR)
- May 6-8, 2019, Expert presentation, Swift Foundation, Monterey, CA
- April 29, 2019, Interfaith Center on Corporate Responsibility, Presenter/Organizer, *Shareholder Advocacy Leadership Workshop*, New York, NY
- April 22, 2019, United Nations Permanent Forum on Indigenous Issues, Presenter/Organizer, *Official parallel event, Youth and Development*, New York, NY
- March 26, 2019, Workshop Lead, Business and Human Rights, *Implementing the United Nations Declaration on the Rights of Indigenous Peoples in the United States*, University of Colorado Law School
- October 24, 2018, Panelist/Organizer “Social Investment Opportunities with Indigenous Communities,” Social Capital Markets SOCAP Conference, San Francisco, CA
- October 16, 2018, Panelist, “Beyond Grantmaking,” Human Rights Funders Network, Mexico City, Mexico
- October 1, 2018, Panelist, “Doing Business with Indian Nations,” Permanens Capital, 4th Annual Investment Committee Symposium, New York, NY
- September 13, 2018, Panelist, “Safeguarding the rights of indigenous peoples: the role of investors,” United Nations Principles for Responsible Investment (UNPRI) In Person, San Francisco, CA
- July 11, 2018, Shareholder Advocacy Leadership Workshop, Honoka’a, Hawaii

- June 14, 2018, Panelist, Human Trafficking in Indian Country, Native Americans in Philanthropy Conference, Santa Ana Pueblo, NM
- May 22-23, 2018, Keynote presentation to Equator Association on Free, Prior and Informed Consent and the Equator Principles, organized by Equator Association Secretariat, Asia-Pacific and European Webinar presentations
- April 24, 2018, United Nations Permanent Forum on Indigenous Issues, Presenter/Organizer, *Official parallel event, Shareholder Advocacy and Indigenous Peoples*, New York, NY
- April 23, 2018, United Nations Permanent Forum on Indigenous Issues, Presenter/Organizer, *Official parallel event, Human Trafficking of Indigenous Persons*, New York, NY
- April 18, 2018, United Nations Permanent Forum on Indigenous Issues, Presenter, *Official parallel event, The Dakota Access Pipeline*, New York, NY
- November 13, 2017, Presenter, *Careers in Indian Law*, University of Denver, Denver, CO
- October 4, 2017, Presenter, *Identity and Implementation: The Next Ten Years of the United Nations Declaration on the Rights of Indigenous Peoples*, Columbia Law School, New York, NY
- September 20, 2017, Organizer, *Multi-stakeholder Meeting on Indigenous Peoples, Development, and Human Trafficking*, Geneva, Switzerland
- May 5, 2017, United Nations Permanent Forum on Indigenous Issues, Presenter/Organizer, *Official parallel event on planning for United Nations Declaration on the Rights of Indigenous Peoples 10th Anniversary Event*, New York, NY
- April 19-20, 2017, Speaker, *Indian Tribes and Pipelines*, Regis University, Denver, CO
- April 14, 2017, Speaker, *25th Annual Rothgerber Constitutional Law Conference, Truth, Lies, and the Constitution, (Presentation on treaty abrogation)*, Boulder, CO
- March 17-18, 2017 Presenter, *Center for Native American and Indigenous Studies (CNAIS), Indigenous Storytelling and Law: An Interdisciplinary Symposium (Presentation of Native Truth project; panel on the Trump administration)*, Boulder, CO
- March 14, 2017, Speaker, *“GES webinar on the Dakota Access Pipeline”* Copenhagen, Denmark (remote)
- March 6, 2017, Panelist, Expert Mechanism on the Rights of Indigenous Peoples, Boulder, CO
- January 27, 2017, Participant, Expert Meeting of the United Nations Permanent Forum on Indigenous Issues, United Nations, New York City
- January 12, 2017, Keynote, *Ethical Considerations in Representation of Stakeholders in the Dakota Access Pipeline Struggle*, Boulder County Bar

- Association, Boulder, CO
- December 9, 2016, Coordinator, Thematic Hearing, Inter-American Commission on Human Rights, *“Human Rights Situation of Indigenous Persons in the Context of Projects and Extractive Industries in the United States”*
 - December 5, 2016, Speaker, *“Investor webinar on the Dakota Access Pipeline”*
 - October 24, 2016, Keynote, *“Responsible Resource Development and Prevention of Sex Trafficking: Safeguarding Native Women and Children on the Fort Berthold Reservation,”* Harvard Law School, Cambridge, MA
 - October 9, 2016, Keynote, *Boulder’s Inaugural Indigenous Peoples Day Celebration*, Boulder, CO
 - October 8, 2016, Keynote, Activating the UN Declaration on the Rights of Indigenous Peoples, Indigenous Confluence Week, Denver, CO
 - September 21, 2016, Keynote, *Multi-stakeholder Meeting on Ending Human Trafficking in the Bakken Shale Region*, New Town, ND
 - August 10, 2016, Keynote, *Tribal Relations Seminar*, National Oceanic Atmospheric Administration, Boulder, CO
 - October 10, 2015, Panelist, *“Sustainable Energy for All”* University of Denver Sturm College of Law, Sustainable Development and Sustainable Energy Conference
 - September 20, 2015, Panelist/Organizer, *“Indigenous Operationalization and Implementation of UNDRIP’s Free, Prior and Informed Consent”*, United Nations Human Rights Council, United Nations, Geneva
 - April 22, 2015, Panelist/Organizer, *“Indigenous Operationalization and Implementation of UNDRIP’s Free, Prior and Informed Consent”*, United Nations Permanent Forum on Indigenous Issues, United Nations, New York City
 - October 24, 2014, Panelist, *The Benefits and Pitfalls of Cross-Clinic, Cross-Disciplinary Student Projects*, University of Denver Sturm College of Law, Mountain West Clinical Conference
 - September 12, 2014, Moderator, *Tribal Sovereign Immunity in Practice*, University of Colorado Law School Annual Indian Law Conference, *“Tribal Sovereign Immunity after Bay Mills”*
 - February 27, 2014, Panelist, *Entrepreneurship and Creating Economic Diversity* at *“Sustaining the Reservation: Creating Tribal Economies”* Conference, Sandra Day O’Connor College of Law, Arizona State University
 - April 10, 2014, Panelist, Plenary Session, *Sovereign Energy: Powering the Digital Age*, Federal Bar Association Indian Law Section Conference
 - January 29, 2014, Moderator and Presenter, *Indigenous Peoples’ Rights: Risks in the Extractive Industry and Free Prior and Informed Consent*, Webinar, US Forum for Sustainable and Responsible Investment
 - November 1, 2013, Moderator, *FPIC in Land & Natural Resources* at University of Colorado Law School Annual Indian Law Conference, *“Free Prior & Informed Consent: Pathways for a New Millennium”*
 - April 5, 2013, Moderator, *Institution Building for the Future of the Ute Tribes* at University of Colorado Law School Annual Indian Law Conference, *“People of the Shining Mountains: The Legal Past, Present, and Future of the Ute Tribes”*

- October 3, 2012, Plenary Presentation, *Tribal Sovereignty and Economic Development: Taking Care of People, the Native Way*, Socially Responsible Investment Annual Conference
- March 20, 2012, Panelist, *Investment Options in Troubled Times*, Native American Finance Officers Association Conference
- October 12, 2011, Panelist, *Looking After Tribal Youth: Taking a Look at Minors' Trusts & Financial Literacy Programs*, Native American Finance Officers Association Conference

Publications:

- Carla F. Fredericks, *Mapping the Sustainable Development Goals to Indian Nations*, PRIVATE SECTOR ECONOMIES FOR NATIVE AMERICA: ENTREPRENEURSHIP FOR THE SEVENTH GENERATION, (Robert Miller, Miriam Jorgenson, Daniel Stewart eds., Cambridge Univ. Press 2019).
- Carla F. Fredericks, Mark Meaney, Nicholas Pelosi and Kate R. Finn, *Social Cost and Material Loss: The Dakota Access Pipeline*, (November 2018; Forthcoming 2020 in N.Y.U. J. OF L. & PUB. POLICY).
- FPIEP (Carla F. Fredericks, et. al.), *FPIC Due Diligence Questionnaire*, (November 2018).
- Carla F. Fredericks, et. al., *FPIEP Response to the IFC's Draft Operating Principles for Impact Investing* (December 2018).
- Carla F. Fredericks, et. al., *FPIEP Response to Enbridge Energy's Discussion Paper on Indigenous Rights and Relationships in North American Energy Infrastructure* (December 2018).
- Carla F. Fredericks, et. al., *Responsible Resource Development: A Strategic Plan to Consider Social and Cultural Impacts of Tribal Extractive Industry Development*, HARVARD J. L. & GENDER (2018)
- Carla F. Fredericks and Jesse R. Heibel, *Standing Rock, the Sioux Treaties, and the Limits of the Supremacy Clause*, U. OF COLO. L. REV, (2018)
- Carla F. Fredericks, *Operationalizing FPIC*, 81 ALBANY L. REV. 1 (2017)
- Carla F. Fredericks, et. al., *Responsible Resource Development and Prevention of Sex Trafficking: Safeguarding Native Women and Children on the Fort Berthold Reservation*, 40 HARVARD J. L. & GENDER (2016).
- Carla F. Fredericks, *Plenary Energy*, 118 W. VA. L. REV. 789 (2015).
- Milberg LLP (Author), *The Fault Lies Not in Our Rules*, 4 FED. COURTS. L. REV. 1 (2011).
- Contributor, EMPLOYMENT DISCRIMINATION, 2d.Ed.; BNA Books.

Works in Progress:

- *Private Equity for Tribal Nations*
- THE BUSINESS CASE FOR INDIGENOUS RIGHTS (book in development)
- Restoring Balance on the Navajo Nation: Defining and Preventing Sex Trafficking on the Navajo Nation (co-authored with Navajo Nation; Naabik'iyáti'

Sexual Assault Prevention Subcommittee of the 23rd Navajo Nation Council
(forthcoming 2020)

Selected Press:

[Controversial Dakota Access pipeline boosts oil production, *Financial Times*, June 20, 2019](#)

[Mauna Kea Protests Reveal Problems in Construction Processes on Native Lands, *YES Magazine*, August 13, 2019](#)

[WA's Top Lawyer Took a Rare Step To Affirm Tribal Sovereignty — Here's Why That's a Big Deal, *Crosscut*, June 5, 2019](#)

[Energy Transfer, Banks Lost Billions by Ignoring Early Dakota Access Pipeline Concerns, *EcoWatch*, Dec. 3, 2018](#)

[Dakota Access Pipeline controversy cost companies at least \\$7.5 billion, study finds, *CU Boulder Today*, Nov. 26, 2018](#)

[A New North Dakota Law Threatened Native American Votes. They Responded By Turning Out in Historic Numbers, *Time Magazine*, Nov. 7, 2018](#)

[Concerns about voter access dominate final stretch before Election Day, *Washington Post*, Nov. 4, 2018](#)

[We're Not Done With DAPL: How Investors Can Still Support Indigenous Rights, *Forbes*, Nov. 1, 2018](#)

[What's being done to prevent disappearances of Native American women? *Al Jazeera*, Aug. 9, 2018](#)

[Finding Little Help From the Federal Government, Tribes Are Making Their Own Ways to Fight Trafficking, *Rewire.News*, Mar 14, 2018](#)

[American Indian Girls Often Fall Through the Cracks, *Pew Charitable Trusts*, March 4, 2016](#)

[Finn et al. on Sex Trafficking, *CrimProf Blog*, February 18, 2016](#)

[American Indian Law Program Presents Model to the United Nations Human Rights Council, *University of Colorado Law School*, Monday, October 26, 2015](#)

Memberships:

- American Bar Association; Federal Bar Association, National Native American Bar Association; National Congress of American Indians, Colorado Indian Bar

Association