

## JONATHAN L. ROGERS

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University of Colorado at Boulder  
995 Regent Drive - 419 UCB  
Boulder, CO 80309  
Office: Koelbel 439

E-mail: Jonathan.Rogers@Colorado.edu

### EDUCATION

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#### **The University of Pennsylvania, The Wharton School**

Ph.D. in Accounting, 2005

#### **The University of Texas at Austin**

B.B.A. in Finance, 1996

Graduated with Highest Honors

B.A. with Special Honors in Economics and Accounting Minor, 1996

Graduated with Highest Honors

#### **Professional Certifications (inactive)**

Certified Management Accountant (CMA), 1999

Certified in Financial Management (CFM), 2000

### PROFESSIONAL EXPERIENCE

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#### **University of Colorado Boulder, Leeds School of Business**

Professor of Accounting

June 2017-present

Tisone Endowed Chair in Accounting

July 2016-present

EKS&H Faculty Fellow

July 2015-July 2016

Accounting PhD Coordinator

July 2015-June 2017

Associate Professor of Accounting

July 2013-June 2017

#### **The University of Chicago Booth School of Business**

Associate Professor of Accounting

July 2008-June 2013

Assistant Professor of Accounting

March 2005-July 2008

Instructor of Accounting

July 2004-March 2005

#### **The University of Pennsylvania, The Wharton School**

Lecturer and Teaching Assistant

July 1999-May 2004

#### **International Paper**

Operations and Control Consultant

July 1996-July 1999

### REFEREED PUBLICATIONS

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“Bridging the Gap: Evidence from Externally Hired CEOs” (with Yonca Ertimur, Caleb Rawson and Sarah Zechman). *Journal of Accounting Research*. 2018, vol. 56(2), pp. 521-579.

“Run EDGAR Run: SEC Dissemination in a High-Frequency World” (with Douglas Skinner and Sarah Zechman). *Journal of Accounting Research*. 2017, vol. 55(2), pp.459-505.

“The Role of the Media in Disseminating Insider Trading News” (with Douglas Skinner and Sarah Zechman).

*Review of Accounting Studies*. 2016, vol. 21(3), pp. 711-739

-Awarded the Best Paper Award at the *Review of Accounting Studies* conference (2015)

“Bundled Forecasts in Empirical Accounting Research” (with Andrew Van Buskirk). *Journal of Accounting & Economics*. 2013, vol. 55(1), pp.43-65.

“Disclosure Tone and Shareholder Litigation” (with Andrew Van Buskirk and Sarah Zechman) *The Accounting Review*. 2011, vol. 86(6), pp.2155-2183.

“Earnings Guidance and Market Uncertainty” (with Douglas Skinner and Andrew Van Buskirk) *Journal of Accounting & Economics*. 2009, vol. 48(1), pp.90-109.

“Shareholder Litigation and Changes in Disclosure Behavior” (with Andrew Van Buskirk) *Journal of Accounting & Economics*. 2009, vol. 47(1/2), pp.136-156.

“Disclosure Quality and Management Trading Incentives” *Journal of Accounting Research*. 2008, vol. 46(5), pp.1265-1296.

-Awarded the Ernest R. Wish Accounting Research Award (2009)

“Credibility of Management Forecasts” *The Accounting Review*. (with Phillip Stocken), 2005, vol. 80(4), pp. 1233-1261.

#### **WORKING PAPERS**

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“Why can’t I trade? Exchange discretion in calling halts” (with Nathan Marshall and Sarah Zechman)

“Do Managers Tacitly Collude to Withhold Industry-Wide Bad News?” (with Catherine Schrand and Sarah Zechman)

#### **WORK IN PROGRESS**

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“Before, During, or After Hours? The Role of Trading Halts in the Timing of Disclosure” (with Nathan Marshall, Nikki Skinner and Sarah Zechman).

#### **MEDIA AND REGULATOR CITATIONS**

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The Wall Street Journal, “SEC Plans to Fix Flaw in Electronic Distribution System” (Scott Patterson, 12/26/2014)

White, Mary Jo. Letter to The Honorable Tim Johnson. (12/19/2014). United States Securities and Exchange Commission, Washington DC.

Bloomberg Business, “Senators urge SEC to fix unequal access to market data,” (Dave Michaels, 11/3/2014)

Johnson, Tim, and Mike Crapo. Letter to The Honorable Mary Jo White. (11/3/2014). United States Senate Committee of Banking, Housing and Urban Affairs, Washington DC. The

Wall Street Journal, “Gap narrows in access to SEC filings” (Scott Patterson, Ryan Tracy, and Andrew Ackerman, 11/3/2014)

The Wall Street Journal, “SEC warned before about early release weak spot” (Scott Patterson and Ryan Tracy, 10/29/2014)

Bloomberg Television (Street Smart), “Arthur Levitt: The SEC is re-thinking disclosure” (Interview by Trish Regan, 10/29/2014)

Bloomberg Business, “Study finds time advantage in distribution of SEC filings” (Adam Haigh, 10/29/2014)

Bloomberg Business, “SEC tried to make markets fair. Here’s what happened” (Nick Baker and John Detrixhe, 10/29/2014)

Financial Times, “High frequency traders said to get SEC filings early” (Gina Chon, 10/29/2014)

The New York Times, “Certain traders may get early looks at SEC filings, paper finds” (William Alden, 10/29/2014)

The Wall Street Journal, “Fast traders are getting data from SEC seconds early” (Ryan Tracy and Scott Patterson, 10/29/2014)

Congressional Documents and Publications, “Maloney calls on SEC to end outrageous policy that allows inside investors early access to public filings; Maloney plans bill to mandate equal access to public filings; Rep. Carolyn Maloney (D-NY) News Release” (10/28/2014)

Reuters, “How to avoid a securities class action” (David Cay Johnston, 2/10/2012)

CFO.com, “Upbeat words in earnings statements can get you sued, research shows” (Sarah Johnson, 1/20/2012)

#### **ACADEMIC HONORS**

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RAST Conference Best Paper Award, 2015

Fama-Miller Center Research Grant, 2011

William Ladany Faculty Scholar, 2010

Ernest R. Wish Accounting Research Award, 2009

Initiative on Global Markets Research Grant, 2009

Deloitte Foundation Doctoral Fellowship, 2003

*European Accounting Association’s* Doctoral Colloquium Fellow, 2003

Geewax, Terker & Company Prize for Investment Research – Second Prize, 2002

*American Accounting Association* Doctoral Consortium Fellow, 2002

Rodney L. White Center for Financial Research Grant, 2001

CMA exam certificate of distinguished performance, 1999

#### **WORKSHOP PRESENTATIONS**

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Southern Methodist University (Scheduled)

Texas A&M University (November 2017)

University of Oregon (April 2017)

Washington University in St. Louis (May 2015)

London Business School (February 2015)

Columbia University (May 2014)

Singapore Management University (June 2013)

Harvard University (April 2013)

University of Colorado (January 2013)

University of Delaware (October 2012)

Massachusetts Institute of Technology (October 2012)  
University of California, San Diego (September 2012)  
University of Michigan (September 2012)  
Cornell University (September 2012)  
Syracuse University (September 2012)  
University of California, Los Angeles (April 2012)  
Dartmouth College (February 2012)  
Northwestern University (October 2011)  
The University of Chicago (October 2011)  
University of Illinois at Chicago (September 2011)  
INSEAD (June 2011)  
The University of Texas at Austin (April 2011)  
University of California, Los Angeles (April 2011)  
The University of Chicago (February 2011)  
Indiana University (November 2010)  
Ohio State University (September 2010)  
University of Minnesota (September 2010)  
Pennsylvania State University (April 2010)  
The University of New South Wales (September 2009)  
The University of Western Australia (August 2009)  
The University of Melbourne (August 2009)  
Rice University (December 2008)  
University of Toronto (December 2008)  
Ohio State University (November 2008)  
Dartmouth College (October 2008)  
New York University (October 2008)  
Massachusetts Institute of Technology (December 2007)  
University of Rochester (November 2007)  
Indiana University (October 2007)  
University of Pennsylvania (September 2007)  
The University of Chicago (May 2007)  
Purdue University (April 2007)  
Lancaster University (November 2006)  
Ohio State University (December 2005)  
The University of Chicago (November 2005)  
University of Illinois at Chicago (October 2005)  
The University of Michigan (January 2005)  
Emory University (March 2004)  
Stanford University (March 2004)  
The University of Texas at Austin (March 2004)  
Rice University (March 2004)  
Columbia University (March 2004)  
Harvard University (February 2004)  
Massachusetts Institute of Technology (February 2004)  
Pennsylvania State University (February 2004)  
The University of Chicago (February 2004)  
Northwestern University (February 2004)

## **CONFERENCE PRESENTATIONS**

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*Hawai'i Accounting Research Conference* (January 2019). "Why Can't I Trade: Exchange Discretion in Calling Halts" with Nathan Marshall and Sarah Zechman.

*22nd Annual Nicholas Dopuch Accounting Conference at Washington University* (November 2009). "Disclosure Tone and Shareholder Litigation" with Andrew Van Buskirk and Sarah Zechman.

*Tilburg University Spring Camp* (May 2009). "Disclosure Tone and Shareholder Litigation" with Andrew Van Buskirk and Sarah Zechman.

*Utah Winter Accounting Conference* (February 2008). "Earnings Guidance and Market Uncertainty" with Douglas Skinner and Andrew Van Buskirk.

*Journal of Accounting & Economics Conference* (October 2007). "Shareholder Litigation and Changes in Disclosure Behavior" with Andrew Van Buskirk.

*European Accounting Association Annual Congress* (April 2007). "Bundled Forecasts in Empirical Accounting Research" with Andrew Van Buskirk.

*UNC / Duke Fall Camp* (September 2006). "Shareholder Litigation and Changes in Disclosure Behavior" with Andrew Van Buskirk.

*European Accounting Association Annual Congress* (April 2003). "Credibility of Management Forecasts" with Phillip Stocken.

*American Accounting Association Annual Meetings* (August 2002). "Credibility of Management Forecasts" with Phillip Stocken.

## **CONFERENCES ATTENDED (INVITED)**

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The *Journal of Accounting Research* Conference, The University of Chicago, May 2004, May 2005, May 2006, May 2007, May 2008, May 2009, May 2010, May 2011, May 2012, May 2013, May 2014, May 2015, May 2016, May 2017 and May 2018.

The *Journal of Accounting and Economics* Conference, October 2006, October 2007, October 2008, October 2009, and October 2010

Colorado Summer Accounting Research Conference, August 2011, June 2013, June 2014, June 2015, June 2016, June 2017 and June 2018.

Annual Bauer Accounting Research Symposium, February 2016

Annual Nicholas Dopuch Accounting Conference at Washington University, November 2009, November 2010, November 2012, November 2013, November 2014 and November 2015

Chinese Accounting Professors' Association of America Conference, June 2010

Penn State Accounting Research Conference, May 2009, May 2011 and May 2012

Tilburg University Spring Camp, May 2009

The *Review of Accounting Studies* Conference, October 2008

Center for Accounting Research & Education Conference, April 2006, April 2007, April 2010 and April 2013

Joint *Journal of Accounting Research* and London Business School Conference on International Financial Reporting Standards, London, July 2005.

Annual Utah Winter Financial Accounting Conference, February 2005, February 2006, February 2008, February 2010, February 2014 and February 2015

Symposium on Executive Compensation, Columbia Law School, October 2004

Information, Markets, and Organizations, Harvard Business School, June 2003 and June 2015

*European Accounting Association* Doctoral Colloquium, Seville, March 2003

*American Accounting Association / Deloitte & Touche / J. Michael Cook* Doctoral Consortium, June 2002

Business Measurement and Assurance Services, The University of Texas at Austin, March 2001, April 2010, March 2011, and March 2014

## **PROFESSIONAL SERVICE**

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Editorial Board, *Journal of Accounting Research*, May 2008-present

Ad hoc reviewer for *Journal of Accounting & Economics*, *Journal of Accounting Research*, *Journal of Finance*, *The Accounting Review*, *Review of Accounting Studies*, *Contemporary Accounting Research*, *Journal of Accounting and Public Policy*, *Management Science*, *American Accounting Association Midyear*, *Annual and FARS section meetings*.

American Accounting Association:

FARS Best Paper Award Committee for the 2015 AAA Meeting

FARS Research Program Committee (Research Category: Voluntary Disclosure and Earnings Guidance) for the 2013 AAA Meeting

FARS Research Program Committee (Research Category: Risk and Uncertainty) for the 2011 AAA Meeting

FARS Research Program Committee (Research Category: Disclosure) for the 2010 AAA Meeting

FARS Research Program Committee (Research Category: Disclosure) for the 2009 FARS Midyear Meeting

Institutional Service:

University of Colorado Boulder: Academic Affairs Budget Advisory Committee (2018-present)

University of Colorado Boulder: Boulder Faculty Assembly (2018-present)

University of Colorado Boulder: Boulder Faculty Assembly – Budget and Planning Committee (2018-present)

University of Colorado Boulder: Master's Curriculum Planning Committee (2018-present)

University of Colorado Boulder: Post-Tenure Review Committee (2017-present)

University of Colorado Boulder: Leeds Executive Committee (2017-present)

University of Colorado Boulder: Research Database Committee (Chair) (2016-present)

University of Colorado Boulder: Leeds Doctoral Curriculum and Policy Committee (2015-2018)

University of Colorado Boulder: Leeds Accounting PhD Coordinator (2015-2018)

University of Colorado Boulder: Accounting Division Executive Committee (2015-present)

University of Colorado Boulder: Accounting Division Recruiting Committee (2014-2015)

University of Chicago: Department workshop co-coordinator (2007-2009)

## **DOCTORAL STUDENT SUPERVISION**

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Nikki Skinner, University of Colorado Boulder (Co-Chair of dissertation committee)

Caleb Rawson, University of Colorado Boulder (Co-Chair of dissertation committee)

Jonathan Black, University of Colorado Boulder (Co-Chair of dissertation committee)

Adam Bordeman, University of Colorado Boulder (member of dissertation committee)

Christine Cuny, Chicago Booth (member of dissertation committee)

Alon Kalay, Chicago Booth (member of dissertation committee)

Andrew Jackson, University of New South Wales (external thesis examiner)

## **ADDITIONAL ACTIVITIES**

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Board Member, Maroon Financial Credit Union, March 2007-March 2013