

S. Katie Moon

Contact Information

Leeds School of Business
University of Colorado
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Academic Appointments

University of Colorado, Leeds School of Business
Assistant Professor of Finance, 2016 - Present

Tulane University, Freeman School of Business
Visiting Assistant Professor of Finance, 2015 - 2016

Other Positions

University of Southern California, Marshall School of Business, Visiting Scholar, 2014 - 2015
U.S. Securities and Exchange Commission, Division of Economic and Risk Analysis, Financial Economist, 2012 - 2014

Education

Ph.D., Finance, Robert H. Smith School of Business, University of Maryland, 2012
M.S., Financial Engineering, Peter F. Drucker School of Management, Claremont Graduate University, 2006
M.S., Computer Science and Engineering, Seoul National University, 2004
B.S., Electrical Engineering, Seoul National University, 2001

Publications

- Offshore Activities and Financial vs Operational Hedging (with Gerard Hoberg)
Journal of Financial Economics, August 2017, Vol. 125 (2), 217–244
On the WFA 2015 Seattle, the FIRS 2015 Iceland, and the CFEA 2014 GSU programs
- The JOBS Act and the Costs of Going Public (with Susan Chaplinsky and Kathleen Hanley)
Journal of Accounting Research, September 2017, Vol. 55 (4), 795–836
On the Colorado Summer Accounting Research Conference 2015 and the Entrepreneurial Finance and Innovation Conference (EFIC) 2015 Brandeis University programs

- The Offshoring Return Premium (with Gerard Hoberg)
Management Science, June 2019, Vol. 65 (6), 2445–2945
On the EFA 2014 Lugano program
- Outsourcing through Purchase Contracts and Firm Capital Structure (with Gordon Phillips)
Management Science, January 2021, Vol. 67 (1), 363-387
On the AFA 2015 Boston program

Working Papers

- Well Begun Is Half Done: Initial R&D Competence and Firm Growth (with Kyungran Lee and Seungjoon Oh)
Best Paper Award, 2018 Finance Down Under Conference
On the Finance, Organizations and Markets (FOM) conference 2017 at USC, the NFA 2017 Halifax, the Frontiers of Finance Conference 2017 at Warwick Business School, the MFA 2017 Chicago, and the Finance Down Under 2018 programs
- Imprecise and Informative: Lessons from Market Reactions to Imprecise Disclosure (with J. Anthony Cookson and Joonki Noh)
On the CICF 2018 Tianjin, the International Industrial Organization Conference (IIOC) 2018 Indianapolis, the MFA 2018 San Antonio, the CIRF 2019 Nankai University, the 2nd Future of Financial Information conference, NFA 2020, FMA 2020, and NBER Big Data and Securities Markets Fall 2020 programs
- Investor Demand for Leverage: Evidence from Closed-End Funds and the Launch of Levered Exchange-Traded Funds (with Robert Dam and Shaun William Davies)
On the Mutual Funds, Hedge Funds and Factor Investing Conference at Lancaster University, the CICF 2019 Guangzhou, and the 2019 University of Denver Summer Finance Conference programs
- Enhanced Information Disclosure and Drug Development: Evidence from Mandatory Reporting of Clinical Trials (with Po-Hsuan Hsu, Kyungran Lee, and Seungjoon Oh)
On the NFA 2019, HKUST Finance Symposium 2019, FARS 2020 Nashville Midyear Meetings, MFA 2020, AAA 2020, and AIEA-NBER 2020 programs
- Endogenous Operating Leverage: Foreign Labor Regulations and Firm Boundaries (with Giorgio Sertsios)
On the 2019 UAndes Corporate Finance Conference EFA 2020, and the Finance, Organizations and Markets (FOM) conference 2020 Dartmouth programs

- Regulation and Initial Capital Structure: Evidence from the JOBS Act (with Khaled Alsabah)
On the MFA 2020 and NFA 2020 programs
- Temporary Workers and Cash Holdings (with Jaehoon Hahn, Sandy Klasa, and Hyuksoon Lim)
- Good Employee Relations and Firm Performance Improvement: Involvement of Socially Responsible Investment Funds (with Tosun Onur)
- Upstream Volatility Spillovers from Geographically Concentrated Production (with Nathalie Moyen)
- Impact of Peer-firm Bankruptcy on Proprietary Disclosure: Evidence from Trade Secrecy (with Paul A. Griffin, Hyun A. Hong, Kyungran Lee)

Presentations & Discussions

(Not including presentations by coauthors)

2020 - 2021:

Finance, Organizations and Markets (FOM) conference 2020 at Dartmouth (presentation), FMA 2020 (discussion), University of California, Riverside (invited seminar), Conference on Asia-Pacific Financial Markets (CAFPM) 2020 (discussion), University of Georgia (invited seminar), AFA 2021 (discussion)

2019 - 2020:

NFA 2019 Vancouver (presentation), HKUST Finance Symposium 2019 (presentation, cancelled), UAndes Corporate Finance Conference 2019 (presentation, discussion), HEC-McGill Winter Finance Workshop 2020 (discussion), University of Connecticut Finance Conference 2020 (discussion, cancelled), MFA 2020 Chicago (presentation, discussion), 2nd Future of Financial Information conference (presentation), AAA 2020 (presentation), AIEA-NBER 2020 (presentation)

2018 - 2019:

NFA 2018 Charlevoix (discussion), the Second Conference on CSR—the Economy, and Financial Markets 2018 (presentation), CFEA 2018 at Tulane (discussion), MFA 2019 Chicago (discussion), Emerging Technologies Conference (CETAFE) 2019 at USC (discussion), Global Entrepreneurship and Innovation Research Conference 2019 at UVA (discussion), Front Range Finance Seminar 2019 at CU Denver (discussion), ITAM Finance Conference 2019 (discussion), CIRF 2019 Nankai University (presentation, discussion)

2017 - 2018:

Arizona State University (seminar), NFA 2017 Halifax (presentation, discussion), Finance, Orga-

nizations and Markets (FOM) conference 2017 at USC (presentation), Finance Down Under 2018 (presentation), International Industrial Organization Conference (IIOC) 2018 Indianapolis (presentation), CICF 2018 Tianjin (presentation, discussion)

2016 - 2017:

Finance, Organizations and Markets (FOM) conference 2016 at Dartmouth (discussion), MFA 2017 Chicago (presentation, discussion), the Frontiers of Finance Conference 2017 at Warwick Business School (presentation, discussion)

2015 - 2016:

University of Colorado Boulder (seminar), Northeastern University (seminar), University of Massachusetts Boston (seminar), Southern Economic Association (SEA) 2015 New Orleans (discussion), Brandeis Entrepreneurial Finance and Innovation Conference (presentation)

2014 - 2015:

WFA 2015 Seattle (presentation), FIRS 2015 Iceland (presentation), University of Illinois, Chicago (seminar), Santa Clara University (seminar), AFA 2015 Boston (presentation), CFEA 2014 Georgia State University (presentation), FMA 2014 Nashville (discussion), University of California Riverside (seminar), University of Southern California (seminar)

2013 - 2014:

EFA 2014 Lugano (presentation), ACM SIGMOD 2014 Workshop on Data Science for Macro-Modeling (presentation), University of Maryland (seminar), Conference on Asia-Pacific Financial Markets (presentation, discussion), UBC Summer Finance conference (participant, presentation by coauthor), Securities and Exchange Commission (seminar)

2012 - 2013:

Securities and Exchange Commission (seminar), Federal Reserve Board of Governors (seminar), George Mason University (seminar), University of Notre Dame (seminar)

Before 2012:

University of Maryland (seminar), FMA 2010 New York (presentation, participant for the doctoral student consortium)

Professional Service

Conferences:

Program committee, Midwest Finance Association (MFA) Annual Meetings, 2019, 2020, 2021
Session chair, Midwest Finance Association (MFA) Annual Meetings, 2017, 2020

Program committee, Eastern Finance Association Annual Meetings, 2021
Program committee, Financial Research Network (FIRN) Annual Conference, 2019
Session chair, China International Risk Forum (CIRF) 2019
Program committee, Emerging Technologies Conference (CETAFE), 2019
Program committee, Colorado Finance Summit, 2019
Session chair, Financial Intermediation Research Society (FIRS) Conference 2015
Program committee, FMA Annual Meetings, 2014, 2017

Leeds School of Business, University of Colorado:

Coordinator, Finance seminar series and Brown bag seminar series, 2018-2019

Teaching Experience

University of Colorado, *Derivative Securities*, 2017-Present

Tulane University, *Analysis of Fixed Income Securities*, 2015-2016

University of Maryland, Graduate Instructor, *Futures and Options Contracts*, 2008

Seoul National University, Teaching Assistant, *Linear and Non-linear Computation Models*, 2004

Seoul National University, Teaching Assistant, *Engineering Mathematics*, 2003

Teaching Seminars Attended

Leeds Summer Workshop Series - Part I - Introductory Workshops - June 2020

-Workshop 1: Pre-Record Videos

-Workshop 2: Canvas Engagement

-Workshop 3: Zoom Engagement

Securities and Exchange Commission

Representative Policy Work:

Pay Ratio Disclosure under the Dodd-Frank Act (as Lead Economist)

Clawback Compensation Recovery Provision under the Dodd-Frank Act (as Lead Economist)

Emerging Growth Companies under the JOBS Act

Other Service:

Academic Seminar Coordinator, 2014

Internship Program Division Coordinator, 2013

Honors & Awards

Securities and Exchange Commission Special Act Award, 2014

Dean's Fellowship, University of Maryland, 2006 - 2011

Beta, Gamma, Sigma, 2006

Institutional Aids, Claremont Graduate University, 2004 - 2006

Research Assistantship, Seoul National University, 2002 - 2004

Cum Laude, Department Fellowships, Seoul National University, 1997 - 2001

Other Experience

FRM (Financial Risk Manager) certified by Global Association of Risk Professionals, 2004

3D Graphics Programmer, Ncubic Inc., 2001 - 2002

Skills

Programming:

STATA / SAS / R / Perl / Python / C & C++ / Java / Matlab / Mathematica

Language:

English and Korean, Intermediate in Japanese